

Ferromagnetic Quantum Spin Chains
with $SU_q(2)$ Symmetry

Shannon Starr
University of Rochester
May 19, 2011

Based on joint work with Bruno
Nachtergaele and Stephen Ng

Spin-1/2, spin chain

1. Chain of length L
2. For each $k \in \{1, \dots, L\}$,
single site Hilbert space

$$\mathcal{H}_k = \mathbb{C}^2, \quad \text{O.n. basis } |\uparrow\rangle, |\downarrow\rangle$$

3. Usual spin-1/2 spin matrices

$$S^{(1)} = \frac{1}{2} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}, \quad S^{(2)} = \frac{1}{2} \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix},$$
$$S^{(3)} = \frac{1}{2} \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

4. Total Hilbert space $\mathcal{H}_{[1,L]} = \bigotimes_{k=1}^L \mathcal{H}_k$,

$$S_k^{(a)} = \mathbb{1}_{\mathcal{H}_1} \otimes \cdots \otimes \mathbb{1}_{\mathcal{H}_{k-1}} \otimes S^{(a)} \otimes \mathbb{1}_{\mathcal{H}_{k+1}} \otimes \cdots \otimes \mathbb{1}_{\mathcal{H}_L}$$

Heisenberg ferromagnet

$$H_{[1,L]} = \sum_{k=1}^{L-1} h_{k,k+1}$$

$$\begin{aligned} h_{k,k+1} &= \frac{1}{4} \mathbb{1} - \mathbf{S}_k \cdot \mathbf{S}_{k+1} \\ &= \frac{1}{4} \mathbb{1} - S_k^{(1)} S_{k+1}^{(1)} - S_k^{(2)} S_{k+1}^{(2)} - S_k^{(3)} S_{k+1}^{(3)} \end{aligned}$$

In the $|\uparrow\uparrow\rangle, |\uparrow\downarrow\rangle, |\downarrow\uparrow\rangle, |\downarrow\downarrow\rangle$
basis for $\mathcal{H}_k \otimes \mathcal{H}_{k+1}$,

$$h_{k,k+1} = \frac{1}{2} \begin{bmatrix} 0 & & & \\ & +1 & -1 & \\ & -1 & +1 & \\ & & & 0 \end{bmatrix}$$

In other words,

$$h_{k,k+1} = \text{Proj} \left(\frac{|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle}{\sqrt{2}} \right)$$

Symmetries

Total spin operators: $a = 1, 2, 3,$

$$S_{[1,L]}^{(a)} = \sum_{k=1}^L S_k^{(a)} .$$

Call $S_{[1,L]}^{(3)}$ the “magnetization” operator.

The total spin operator

$$\mathbf{S}_{[1,L]}^2 = (S_{[1,L]}^{(1)})^2 + (S_{[1,L]}^{(2)})^2 + (S_{[1,L]}^{(3)})^2$$

The triple,

Hamiltonian, magnetization, total spin

$$H_{[1,L]}, \quad S_{[1,L]}^{(3)}, \quad \mathbf{S}_{[1,L]}^2$$

commute.

A ferromagnetic Lieb-Mattis theorem:

For each $s \in \{\frac{1}{2}L, \frac{1}{2}L - 1, \dots, \frac{1}{2} \text{ or } 0\}$, let

$$\mathcal{H}_{[1,L]}^{(s)} = \{\psi \in \mathcal{H}_{[1,L]} : \mathbf{S}_{[1,L]}^2 \psi = s(s+1)\psi\}$$

Define

$$E_0(s) = \min \left\{ \frac{\langle \psi, H_{[1,L]} \psi \rangle}{\|\psi\|^2} : 0 \neq \psi \in \mathcal{H}_{[1,L]}^{(s)} \right\}.$$

Then

$$E_0\left(\frac{1}{2}L\right) < E_0\left(\frac{1}{2}L - 1\right) < \dots < E_0\left(\frac{1}{2} \text{ or } 0\right)$$

★ Lieb and Mattis proved the opposite ordering for the energy levels of bipartite antiferromagnets, in general.

Elements of the proof:

First proof: Nachtergaele, Spitzer, S

New proof: Nachtergaele, Ng, S

Given any $k < l$, define the spin singlet

$$\begin{aligned}
 \overset{\cdot}{k} \overset{\cdot}{l} &= \begin{array}{cc} \uparrow & \downarrow \\ \bullet & \bullet \\ k & l \end{array} - \begin{array}{cc} \downarrow & \uparrow \\ \bullet & \bullet \\ k & l \end{array} \\
 &= |\uparrow\rangle_{\mathcal{H}_k} \otimes |\downarrow\rangle_{\mathcal{H}_l} - |\downarrow\rangle_{\mathcal{H}_k} \otimes |\uparrow\rangle_{\mathcal{H}_l}.
 \end{aligned}$$

A spin configuration above a dot is understood as a vector.

Below = a dual vector, linear functional.

Funny convention:

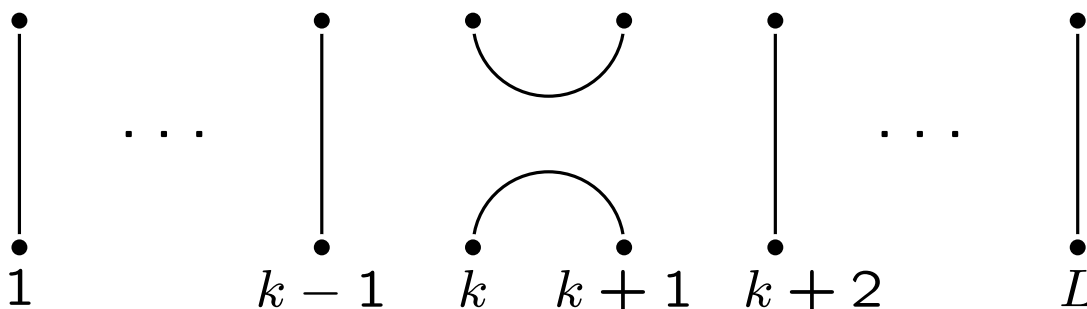
$$\begin{aligned}
 \underset{\cdot}{k} \underset{\cdot}{l} &= \begin{array}{cc} k & l \\ \bullet & \bullet \\ \downarrow & \uparrow \end{array} - \begin{array}{cc} k & l \\ \bullet & \bullet \\ \uparrow & \downarrow \end{array} \\
 &= \langle \downarrow |_{\mathcal{H}_k} \otimes \langle \uparrow |_{\mathcal{H}_l} - \langle \uparrow |_{\mathcal{H}_k} \otimes \langle \downarrow |_{\mathcal{H}_l}.
 \end{aligned}$$

$$\bigcirc = -2$$

Temperley-Lieb algebra

With this, we may also define operators

$$U_{k,k+1} = -2h_{k,k+1}$$



These satisfy the Temperley-Lieb algebra relations

$$U_{k,k+1}^2 = -2U_{k,k+1}$$

$$U_{k,k+1}U_{k+1,k+2}U_{k,k+1} = U_{k,k+1}$$

$$U_{k,k+1}U_{k-1,k}U_{k,k+1} = U_{k,k+1}$$

$$|k - \ell| > 1 \Rightarrow U_{k,k+1}U_{\ell,\ell+1} = U_{\ell,\ell+1}U_{k,k+1}$$

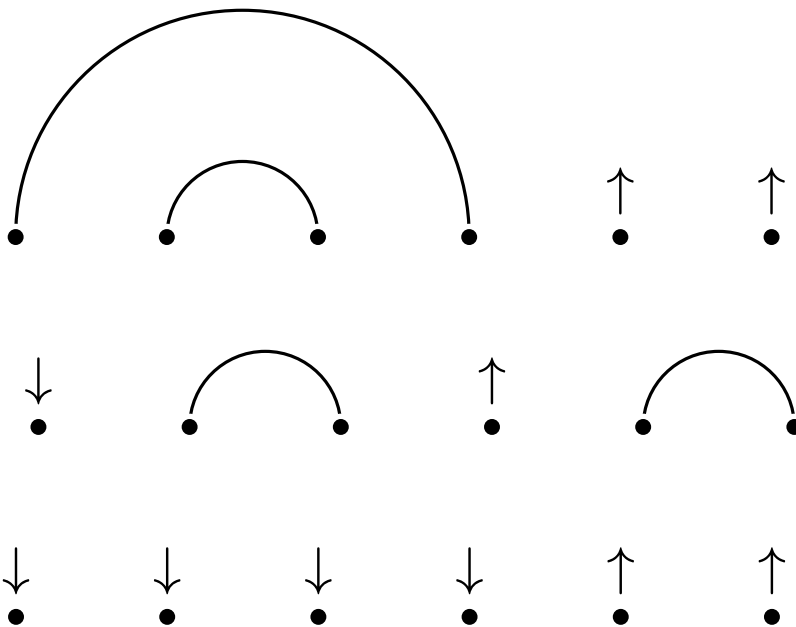
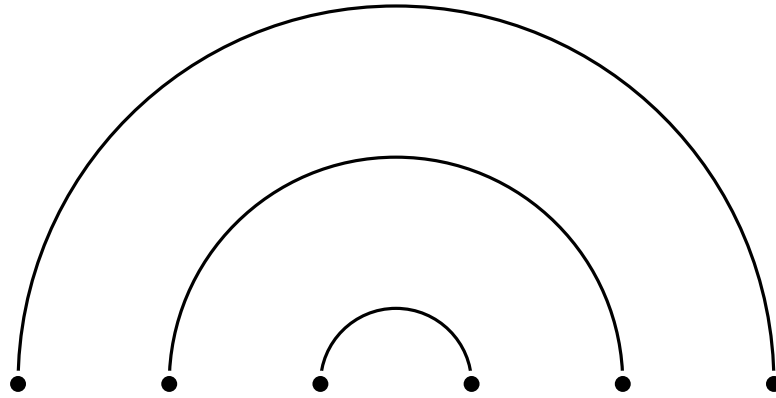
The diagram illustrates a sequence of four equations representing the reduction of a genus-2 surface (a torus with two handles) to a pair of pants configuration:

- Equation 1:** A genus-2 surface is shown on the left, and a pair of pants configuration (two vertical lines on the left, two on the right, and a horizontal line at the top) is shown on the right, with an equals sign between them.
- Equation 2:** A genus-2 surface with a horizontal line across its middle is shown on the left, and a pair of pants configuration with a horizontal line across its middle is shown on the right, with an equals sign between them.
- Equation 3:** A genus-2 surface with a horizontal line and a vertical line through its center is shown on the left, and a pair of pants configuration with a horizontal line and a vertical line through its center is shown on the right, with an equals sign between them.
- Equation 4:** A genus-2 surface with a horizontal line and a vertical line through its center is shown on the left, and a pair of pants configuration with a horizontal line and a vertical line through its center is shown on the right, with an equals sign between them.

Graphical basis

- Each vertex has at most one arc incident to it.
- No two arcs cross.
- No arc spans a vertex with 0 arcs incident to it.
- All \downarrow spins are to the left of all \uparrow spins.

Examples:

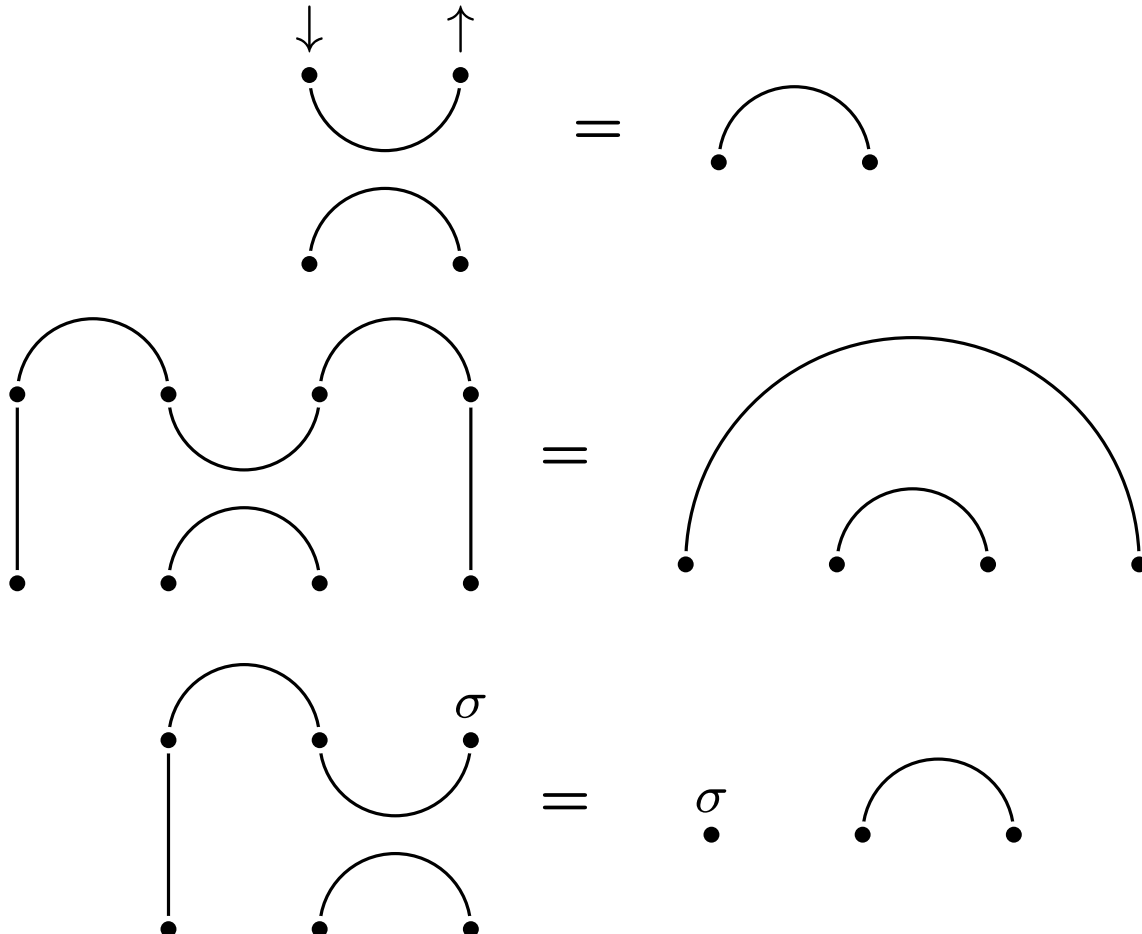


The set of all vectors satisfying these rules forms a non-orthonormal basis for $\mathcal{H}_{[1,L]}$.

Good signs

We want to apply the Perron-Frobenius theorem.

All $U_{k,k+1}$'s have nonnegative off-diagonal matrix entries in the graphical basis.



Highest weight vectors

The Hamiltonian has ergodic subspaces.

Suppose there are n arcs and all spins = \uparrow .

Then magnetization = total spin = $\frac{1}{2}L - n$.

These are called “highest weight vectors.”

$\widetilde{\mathcal{H}}_{[1,L]}^{(s)} := \text{span}(\text{h.w. vectors of total spin } s)$

$$E_0(s) = \min \left\{ \frac{\langle \psi, H_{[1,L]} \psi \rangle}{\|\psi\|^2} : 0 \neq \psi \in \widetilde{\mathcal{H}}_{[1,L]}^{(s)} \right\}.$$

$$E_0(s) = \underset{\substack{\uparrow \\ \text{P-F}}}{\text{max spec}}(-H_{[1,L]} \upharpoonright \widetilde{\mathcal{H}}_{[1,L]}^{(s)})$$

Compare $E_0(s)$ and $E_0(s + 1)$:
 magnetization = s ,
 total spin = s or $s + 1$.

Includes subspace $\widetilde{\mathcal{H}}_{[1,L]}^{(s)}$

$n = \frac{1}{2}L - s$ arcs and all unpaired spins \uparrow

Also includes all graphical basis vectors

$n-1$ arcs and left-most unpaired spin = \downarrow

Changing the \downarrow to \uparrow defines a bijection

to h.w. vectors of $\widetilde{\mathcal{H}}_{[1,L]}^{(s+1)}$.

Matrix for $-H_{[1,L]}$:

nonnegative and block lower triangular

$$\begin{bmatrix} -H_{[1,L]} \upharpoonright \widetilde{\mathcal{H}}_{[1,L]}^{(s)} & 0 \\ * & -H_{[1,L]} \upharpoonright \widetilde{\mathcal{H}}_{[1,L]}^{(s+1)} \end{bmatrix}$$

Moreover there is a positive eigenvector:
Apply spin lowering to the P-F eigenvector
of

$$-H_{[1,L]} \uparrow \widetilde{\mathcal{H}}_{[1,L]}^{(s+1)}$$

Perron-Frobenius then implies

$$\begin{aligned} \Rightarrow \quad & \max \text{spec}(-H_{[1,L]} \uparrow \widetilde{\mathcal{H}}_{[1,L]}^{(s+1)}) \\ & \geq \max \text{spec}(-H_{[1,L]} \uparrow \widetilde{\mathcal{H}}_{[1,L]}^{(s)}) \end{aligned}$$

$$E_0(s+1) \leq E_0(s).$$

Two extensions

- Easy one.

We need total ordering of spin sites.

Can replace $SU(2)$ by $SU_q(2)$, $q > 0$.

$$\bigcirc = -[2] = -(q + q^{-1})$$

XXZ model.

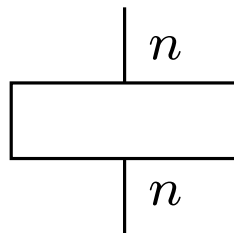
- Harder one.

We can also extend to single-site spins

$s > 1/2$.

Jones-Wenzl projection

For n spins, the Jones-Wenzl projector is the projector onto symmetric tensors:



Identities

$$\begin{array}{c} n \\ | \\ \boxed{n} \\ | \\ n-2 \end{array} \begin{array}{c} | \\ \curvearrowright \end{array} = \begin{array}{c} \curvearrowleft \\ | \\ \boxed{n} \\ | \\ n \end{array} \begin{array}{c} n-2 \\ | \end{array} = 0$$

For $m \leq n$,

$$\begin{array}{c} | \\ \boxed{m} \\ | \\ \boxed{n} \\ | \end{array} \begin{array}{c} n-m \\ | \end{array} = \begin{array}{c} | \\ \boxed{n} \\ | \\ \boxed{m} \\ | \\ n-m \end{array} = \begin{array}{c} | \\ \boxed{n} \\ | \end{array}$$

Jones-Wenzl relation:

$$\begin{array}{c} n \\ | \\ \boxed{} \\ | \\ n \end{array} = \begin{array}{c} n-1 \\ | \\ \boxed{} \\ | \\ n-1 \end{array} \begin{array}{c} | \\ 1 \end{array} + \frac{[n-1]}{[n]}$$

$$\begin{array}{c} n-1 \\ \curvearrowright \\ \boxed{} \\ \curvearrowleft \\ n-1 \end{array} \begin{array}{c} 1 \\ | \\ \boxed{} \\ | \\ 1 \end{array} \begin{array}{c} n-2 \\ | \end{array}$$

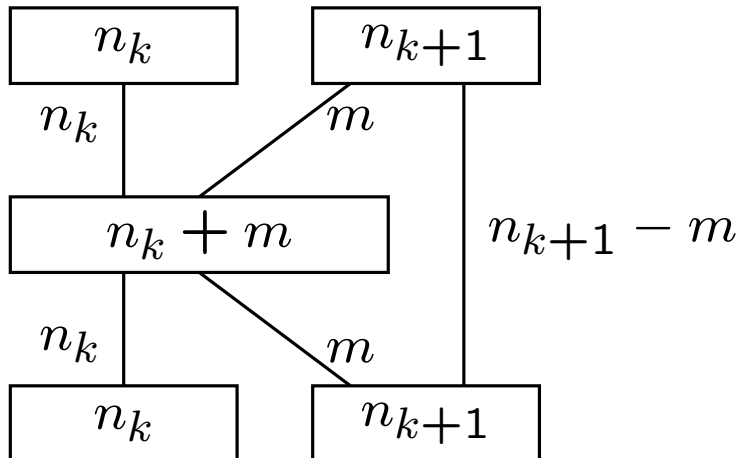
... and many more.

Positive interactions

DEFINE: interaction is positive, if off-diagonal matrix entries ≥ 0 in the graphical “dual canonical” basis. (See Frenkel and Khovanov.)

We characterized all positive interactions:

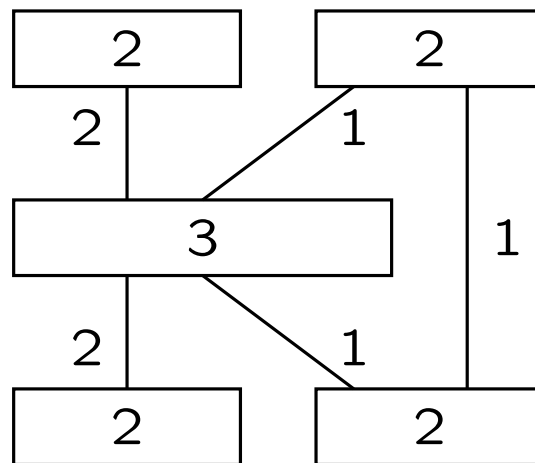
If $s_k = n_k/2$, $s_{k+1} = n_{k+1}/2$,
and $n_k \geq n_{k+1}$,



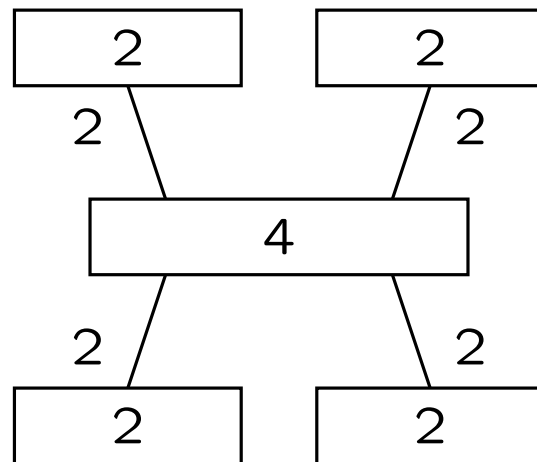
for $m = 0, \dots, n_{k+1}$,
span the simplicial cone of positive interactions.

Examples

For $s = 1$, $n = 2s = 2$,



This is the Heisenberg antiferromagnet.

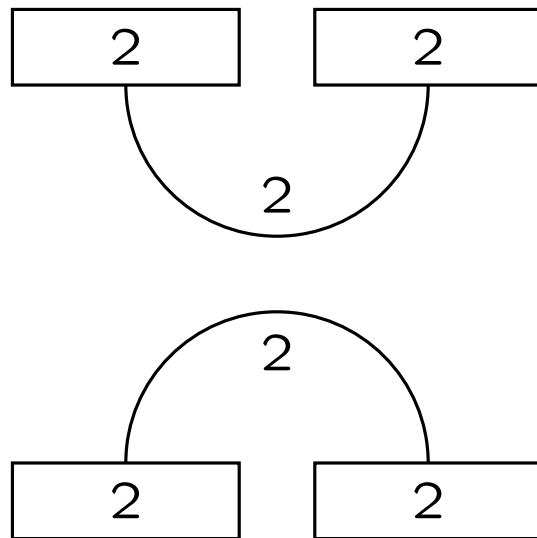


= the AKLT model, Affleck, Kennedy, Lieb, Tasaki.

Necessity?

The Perron-Frobenius theorem gives a sufficient condition for “ferromagnetic ordering of energy levels.”

But it may not be necessary.



has $E_0(s) = 0$ for all s if L is big enough.